Crystallization mechanism and microstructure evolution of Li₂O–Al₂O₃–SiO₂ glass-ceramics with Ta₂O₅ as nucleating agent

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Abstract Li₂O–Al₂O₃–SiO₂ glass-ceramics were prepared with Ta2O5 as nucleating agent, the crystallization mechanism and microstructure evolution were investigated by DTA, XRD, and SEM technologies. With increasing amount of Ta_2O_5 from 2 to 6 mol%, the crystallization activation energy decreased from 297.73 to 218.66 kJ mol⁻¹, while the crystallization index increased from 1.76 to 3.39. In addition, the cluster of dendritic crystals and lamellar structure obtained in T-2 glass-ceramics indicated a typical two-dimensional crystallization mechanism, and the formation of spherical β -quartz solid solution in T-4 specimens, with average size of 50-70 nm, was mainly due to bulk crystallization mechanism. It was considered that Ta₂O₅ promoted the nucleation and crystallization of LAS glass by precipitating the crystalline precursor phase of Ta₂O₅, which acted as nuclei for the subsequent crystal growth. Eventually, the diffusion and crystallization process, microstructure morphology, as well as the secondary grain growth were also investigated.

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Introduction

Glass-ceramics are polycrystalline materials formed by a well-controlled crystallization heat treatment of appropriate parent glass, and properties of glass-ceramics, related to the crystalline phase and microstructure, mainly depend on the addition of nucleating agents [1]. The controlled glass crystallization can be traced back to the original work of Stookey [2], who showed that efficient internal nucleation of the glass enabled a development of the homogeneous, fine-grained microstructure.

Recently, the Li₂O-Al₂O₃-SiO₂ (LAS) glass-ceramics have came into scientific and economic focus due to its very low thermal expansion coefficient and high visible transparency [3, 4], and many studies on the crystallization and microstructure evolution have been preformed [5–9]. Many of the superior properties of internally nucleated LAS glassceramics attributed to the fine-grained microstructure can be obtained through optimizing the heat treatment of glass with added nucleating agents [10-13] (such as TiO₂, ZrO₂, or their mixture). For specimens containing more than 2 wt% TiO₂, phase separation occurred on cooling from the melt, and subsequent reheating caused the formation of a large number of titania-rich crystals approximately 5 nm in diameter, which acted as sites of heterogeneous nucleation for volume crystallization of β -quartz solid solution (s.s). Instead of phase separation, a different mechanism of nucleation was assigned as the precipitation of the precursor nuclei of small crystallites, e.g., ZrTiO₄, as the precursor nuclei for crystallization [14, 15]. Though the details of nucleating agents effected the process of nucleation and crystallization are still controversial, $TiO_2 + ZrO_2$ are the most important commonly used nucleating agents in promoting the crystallization of Li₂O-Al₂O₃-SiO₂ glass, and give a general outlook of crystallization: phase separation,

followed by formation of precursor nuclei, and then nucleation and growth of β -quartz s.s phase on the sites of ZrTiO₄ crystallites.

Moreover, several other agents (P_2O_5 and F^-) were also investigated due to their effect on crystallization [16, 17]. Specially, Ta_2O_5 has been introduced as an effective nucleating agent by Hsu and Speyer [18], who demonstrated the role of Ta_2O_5 in the devitrification process of LAS glass by comparing with TiO₂, the crystalline precursor phases (e.g., LiTa₃O₈ and Ta₂O₅) were found and considered as the reason for crystallization. The influence of Ta₂O₅ additions on the thermal properties and crystallization kinetics was also studied in other glass system [19]. However, there are few reports about the effects of Ta₂O₅ in glasses, and no work has clearly demonstrated the crystallization mechanism, phase equilibrium, microstructure morphology, and the properties of the LAS glassceramics with Ta₂O₅ as nucleating agent.

In this study, we followed a novel investigation of crystallization mechanism and microstructure in LAS glass system with different amount of Ta₂O₅. The crystallization kinetics, phase transition, microstructure evolution, and even the grain growth were discussed using the differential thermal analysis (DTA), X-ray diffraction (XRD), and scanning electron microscopy (SEM) techniques. This research is a part of the preliminary work aimed at the possibilities of obtaining large amounts of β -quartz s.s with fine-grained structure and similar properties using Ta₂O₅, instead of TiO₂ and ZrO₂ as nucleating agents.

Experiments

The LAS glass compositions are very close to the stoichiometric proportion eucryptite $Li_2O-Al_2O_3-SiO_2$, and varying amounts of Ta_2O_5 (2, 4, and 6 mol%) are introduced as the nucleation agents (Table 1). Analytical reagents of Li_2CO_3 , SiO_2 , and Al_2O_3 were selected as raw materials. The mixtures were homogenized by dry ballmilling and then melted at 1,600 °C for 4 h. The melts were subsequently poured onto steel plates to obtain homogeneous glass samples. Glass patties were cut and

 Table 1 Chemical composition of glasses with different amounts of nucleating agent

Sample no.	Ta ₂ O ₅ /mol%	Chemical composition/mass%					
		Li ₂ O	Al_2O_3	SiO ₂	Ta ₂ O ₅		
T-0	-	8.02	27.39	64.59	-		
T-2	2.00	6.99	23.96	56.35	12.70		
T-4	4.00	6.19	21.15	49.77	22.89		
T-6	6.00	5.50	18.84	44.29	31.28		

then subjected to heat treatments (800, 850, 900, and 1,000 °C/1 h) at the heating rate of 5 °C/min to prepare the glass-ceramics specimens.

In order to determine the crystallization temperature and assess the nonisothermal crystallization kinetics, the three type samples were subjected to a differential thermal analyzer (STA, 409C, Netzsch, Germany) with alumina as the reference at different heating rates (5, 10, 15, and 20 °C/min) between ambient temperatures to 1,100 °C. In order to reduce any effects from surface-controlled crystallization, glass shivers (equal mass about 60 mg) with a particle size ~400 μ m, instead of superfine glass powder, were used for all measurements.

The XRD patterns were recorded for crystalline phases utilizing a X-ray diffractometer (D/Max 2500, Rigaku, Germany) operating at 40 kV and 200 mA, using $Cu_{K\alpha}$ radiation. In addition, the average sizes of the crystallites were also calculated using the Scherrer formula, applied to the analytical diffraction peak profiles, as already have been used in microstructural studies of LAS glass-ceramics [4]. The intensity of diffraction for the finely powdered crystallized samples was collected with a step size of 0.02° in the preset-time mode (2 s), and the reversed convolution method was employed to correct the measured peak profiles broadening with instrumental effects.

The microstructure and morphology of the glassceramics were observed by a scanning electron microscope (JSM-6400, Oxford) at an accelerating voltage of 25 kV. The samples were mechanically ground and polished, and then etched (2% HF for 1 min) to reveal the grain boundaries. The samples were gold-coated and observed with a cold stage by liquid nitrogen to avoid undesirable thermal effects.

Results and discussion

DTA results

As shown in Fig. 1, a series of glass samples with different amount of Ta₂O₅ is carried out for DTA testing at various heating rates in the range of 5–20 °C/min. As expected, no obvious exothermic reaction appears in glasses with Ta₂O₅ content less than 2 mol% (T-0), whereas an unambiguous exothermal peak is observed for each pattern of T-2, T-4, and T-6 specimens in temperature range 850–1,000 °C. These peaks correspond to the crystallization of a β -quartz s.s phase (identified by XRD and discussed subsequently). Obviously, the exothermic peaks shift to lower temperature with the increase of Ta₂O₅ content from approximately 960 °C for T-2 glass to 900 °C of T-4 sample, and even to 877 °C of glass with 6 mol% Ta₂O₅ at the heating rate of 10 °C/min. Furthermore, the peak profiles also show a







Fig. 2 Plots of DTA peak temperature (T_p) , peak height, and half-height width (ΔT) versus Ta₂O₅ (heating rate: 10 °C/min)

strong dependence on the amount of Ta₂O₅ and the heating rate. For instance, the alterations of exothermal peak features for the heating rate of 10 °C/min are shown in Fig. 2. The decrease of T_p and the increase of peak height, both related to the thermal stability, mean that the crystallization of β -quartz s.s becomes much easier and appears at lower temperature with the increase of Ta₂O₅ content. The width of exothermic peak, associated with the reaction rate, indicates that Ta₂O₅ addition accelerates the process of crystallization. Hence, the addition of Ta₂O₅ promotes the crystallization of β -quartz s.s efficiently, and the different features of the DTA curves of T-2, T-4, and T-6 samples are probably caused by different mechanism of nucleation and crystallization in the tantalum-rich glasses.

Nonisothermal kinetics of crystallization

DTA results can explain comprehensive information about the crystallization behavior [20–22], and the isothermal experimental data can be interpreted by the well-established Johnson–Mehl–Avrami equation to assess the crystallization kinetics [23–25]. In general, the crystallization active energy (E) can be obtained by using the Kissinger [26] expression as follows:

$$\ln\left(\frac{T_{\rm p}^2}{\alpha}\right) = \frac{E}{RT_{\rm p}} + \ln\frac{E}{R} - \ln\nu \tag{1}$$

where T_p is the crystallization peak maximum temperature, α is the DTA heating rate (°C/min), *R* is the gas constant, and *v* is the frequency factor.

With the values of activation energy, the Avrami parameter (n), related to the mechanism of crystallization, can be derived from the Augis–Bennett equation [27]:

$$n = \frac{2.5}{\Delta T} \times \frac{RT_{\rm p}^2}{E} \tag{2}$$

where ΔT is the half-height width of the crystallization exothermic peak.

Figure 3 shows the plots of $\ln(T_p^2/\alpha)$ versus $1/T_p$, expected to linear, and the values of *E* and *v* derived from the slope and intercept are listed in Table 2. With the increase of Ta₂O₅ content, values of *E* and the frequency factor *v* change from 297.73 kJ mol⁻¹, 3.4×10^{11} min⁻¹ to 218.66 kJ mol⁻¹, 1.7×10^9 min⁻¹, respectively. Both



Fig. 3 Plots of $\ln(T_{\rm p}^2/\alpha)$ versus $1/T_{\rm p}$ for LAS glasses with different amounts of Ta₂O₅

the lower activation energy and the frequency factor are of great benefit to the crystallization of LAS glass. The values of *n*, depending upon the actual crystallization mechanism, for three series of glasses are 1.76, 2.84, and 3.39, respectively. These values indicate that the crystallization mechanism changes from surface crystallization to internal crystallization with increasing amount of Ta_2O_5 .

Phase identification and transition

According to the DTA analysis, the crystallization process can also be traced from the crystalline phase identification and transition detected by XRD measurement. Figure 4 shows the powder XRD patterns of T-2, T-4, and T-6 samples under an isothermal heat treatment at different temperatures. It is obvious that Ta_2O_5 influences the behavior of crystallization and phase transformation drastically. Heating experience shows that the LAS glass without nucleating agent heated at 1,000 °C still appears transparent, which indicates that the parent glass has good thermal stability. For T-2 specimens (Fig. 4a), no sharp diffraction peak corresponding to any crystalline phase is detected below 900 °C, and then β -quartz s.s is identified after heat treatment at 1,000 °C for 1 h, along with the β spodumene s.s and AlTaO₄ crystalline phase having lower diffraction intensity. However, the addition of 4 mol% Ta₂O₅ in LAS glass gives large amount of β -quartz s.s after heat-treated at 850 °C/1 h, while a coexisting minor phase Ta₂O₅ is also observed in Fig. 4b. With increasing temperature, the amount of β -spodumene s.s and AlTaO₄

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Fig. 4 XRD patterns of the series of glasses crystallized at different temperatures for 1 h. a T-2, b T-4, and c T-6

crystallites increase slightly with the expense of β -quartz s.s and Ta₂O₅, respectively. As a result, heat treatment at 1,000 °C for 1 h produces a polyphase glass-ceramics composed of four crystalline phases. With the addition of more Ta₂O₅ (6 mol%), as shown in Fig. 4c, crystalline phase appears at a much lower temperature, and the behavior of phase transition is similar to that of T-4

Table 2 Values of T_p , E, v, and n for three different glasses

Sample No.	$T_{\rm p}^{\prime o}{\rm C}$				$E/kJ mol^{-1}$	v/min^{-1}	n
	5 °C/min	10 °C/min	15 °C/min	20 °C/min			
T-2	_	958.7	980.6	996.9	297.73 ± 12.05	3.4×10^{11}	1.76 ± 0.07
T-4	867.5	899.1	924.2	944.8	234.83 ± 3.59	5.0×10^{9}	2.84 ± 0.04
T-6	850.3	877.3	897.2	911.8	218.66 ± 5.07	1.7×10^9	3.39 ± 0.08

samples. Figure 5 shows the XRD patterns of T-4 sample at different temperature under a nonisothermal heat treatment from 800 to 1,050 °C at the heating rate of 5 °C/min. The β -quartz s.s, Ta₂O₅, β -spodumene s.s, and AlTaO₄ are also identified distinctly, and the diffraction intensity, relating to the crystallinity, increases gradually with increasing temperature. The broad diffraction peaks with lower intensity, corresponding to Ta₂O₅, can be interpreted by a different mechanism of crystallization and growth of Ta₂O₅ crystalline phase in tantalum-rich glasses.

According to the XRD results, the precipitation of crystalline phases is strongly temperature dependent, and the Ta₂O₅ has a pronounced influence on crystallization behaviors. These results are in agreement with the DTA analysis. Thus, Ta₂O₅ addition, 4 mol%, is suitable for promoting crystallization of LAS glass, and a range 850–900 °C seems to be an appropriate temperature for preparation of glass-ceramics with major crystalline phase of β -quartz s.s.

Crystallization mechanism

As shown in Fig. 5, the Ta₂O₅ phase, corresponding to the broad peaks at about 22.8°, 28.3°, and 36.7°, is observed as a minor crystalline phase to form along with the major phase of β -quartz s.s in a large range of temperature. The same crystalline phase is also identified in T-6 sample, although no indication of any crystalline Ta₂O₅ phase was observed in DTA traces. In this study, the average crystal size of crystallites was estimated from the analytical diffraction peak profiles by use of Scherrer equation, and the volume-weighted average crystallite sizes L_{hkl} , measured perpendicularly to the (hkl) lattice planes, were determined in correspondence with the (112) and (101) peak chosen for β -quartz s.s and Ta₂O₅ crystal phase, respectively. In addition, as the insert graph shown in Fig. 5, the reversed convolution transforms of the measured peak profiles were



Fig. 5 XRD patterns of T-4 glasses crystallized at heating rate of 5 °C/min. Note the broad peaks corresponding to Ta₂O₅. *Insert graph* shows the reversed convolution transform of (112) diffraction peak of β -quartz s.s phase

corrected for instrumental broadening. The peak broadening was attributed to crystallite size effects only.

Figure 6 shows the plots of volume-weighted average size for the β -quartz s.s and Ta₂O₅ crystals versus temperature for T-4 specimens. The average size of β -quartz s.s crystal is about 50–70 nm and increases slightly with heating temperature, while the crystallite growth with holding time is much more evident. The decrease at 1,050 °C is probably due to the fact that: β -quartz s.s transforms itself continuously into the correlated structure of β -spodumene s.s (consecutive transformation). On the contrary, the size of Ta₂O₅ crystallites is very small (7–15 nm), and increases slightly with the heating temperature. The notable increase of the sample crystallized at 1,000 or 1,050 °C is probably due to the formation and uncontrollable growth process of AlTaO₄ crystals at high temperature.

Unlike LAS glass with TiO₂ and ZrO₂ as nucleating agents [4], all the values of the average size of $ZrTiO_4$ at different temperatures are close to 4 nm, and each nucleus is isolated by the crystal growth of β -quartz s.s around. However, the size of Ta₂O₅ crystals increases with the heating temperature. As a result, the Ta₂O₅ crystalline phase identified by XRD is mainly attributed to the rejection and precipitation of Ta₂O₅ in residual glasses, and the Ta₂O₅ crystals distribute primarily within the spherulite $(\beta$ -quartz s.s) boundary region. This result is in agreement with the study of Hsu and Speyer [18], that the rejection of redundant Ta₂O₅ into the amorphous matrix by growth of the large β -quartz s.s crystals and the other DTA exothermic peak corresponding to precipitation of Ta₂O₅ from the Tantalum-rich glass. Accordingly, the Ta₂O₅ rejection process by growth of β -quartz s.s regions and the precipitation of Ta₂O₅ crystalline phase are also suspected in T-4 sample as well, where exothermic peaks are overlapped.

It is well known that the effect of oxides on the crystallization mainly depends on the radius and electric charge



Fig. 6 Plots of the crystal size versus crystallization temperature for T-4 specimens under nonisothermal heat treatments. Note that the isolated values above curves correspond to the samples heated for a holding time of 1 h

of metal positive ions, and the metal positive ions with small radius and high field energy are easier to be encircled by regular oxygen ions. The radius (r) and field energy (z/r^2) of Ta⁵⁺ are 0.64 Å and 12.2, while that of Ti⁴⁺ are 0.605 Å and 10.7, and that of Zr^{4+} are 0.84 Å and 5.67, respectively. It would be quite natural to conclude that Ta^{5+} ions are easier to aggregate with oxygen ions to accelerate phase separation and form complete crystal phase as nuclei sites, as same as the mechanism of Ti⁴⁺ and Zr^{4+} ions. Naturally, tantalum oxide may be a good nucleation catalyst due to the aforementioned variation of coordination number with temperature, the charge difference between Ta⁵⁺ and Si⁴⁺ may also promote nucleation efficiency because of an unstable network structure causing phase separation. However, nucleation also occurs at much lower temperature and provides sufficient nuclei for subsequent crystallization, rather than only nucleation phase. Consequently, for Ta₂O₅ nucleating agents, the precipitation of a crystalline precursor phase acts as a site for nucleation and growth of β -quartz s.s, and the nucleation performance, as well as the effect of Ta₂O₅ on promoting crystallization are undoubted at low concentration of 4 mol%.

Microstructure

Microstructure not only plays a key role in determining the ultimate properties of glass-ceramics but also is useful to illustrate the behaviors of controlled nucleation and crystallization, including the distribution and morphology of crystals. The SEM micrographs for the three type samples are shown in Figs. 7, 8, and 9, respectively. The distinctions of morphology also show a very pronounced different mechanism of the crystallization and grain growth visually. As shown in Fig. 7a and b, a relatively coarse morphology, with needle-like or feather-like β -quartz s.s crystals is obtained in T-2 sample heated at 1,000 °C/1 h. Figure 7c confirms the needle-like crystals with lengths of $1-2 \ \mu m$ and ~ 100 nm in diameter with uniform orientation. The microstructure, with cluster of dendritic crystal and lamellar structure, indicates a typical two-dimensional crystallization mechanism, which is in agreement with the above analysis of Avrami exponent (n = 1.76) for T-2 specimens. Therefore, when 2 mol% Ta₂O₅ is added for enhanced nucleation, the internal nucleation process as a precursor to crystallization is still relatively inefficient, the nucleation of β -quartz s.s is initiated at surface sites, and uncontrolled crystallization of β -quartz s.s and β -spodumene s.s begins to grow from the surface of the glass toward the interior of the glass matrix above 950 °C.

Representative SEM micrographs of T-4 samples are presented in Fig. 8a–c, which are visually different from that of T-2 glass-ceramics. Owing to many nuclei with a



Fig. 7 SEM images of etched surfaces of T-2 glass-ceramics heattreated at 1,000 °C. **a** dendritic crystals, **b** lamellar structure, and **c** needle-like crystals

nanometer size formed in prenucleation process, the next nucleation and growth of the metastable crystalline phases (β -quartz s.s) result in a fine-grained crystal assemblage. After heated at 850 °C for 1 h, as shown in Fig. 8a, tiny sphere-shaped crystals precipitate with average size of 50-70 nm. In addition, crystallinity of this specimen is low, and the grain boundary is not distinct due to the large region of residual glass. With increasing temperature, the crystal size and crystallinity increase slightly (Fig. 8b), and the minor rod-like AlTaO4 crystals (white region in Fig. 8c) form after heat-treated at 1,000 °C, coexisting with β -quartz s.s and β -spodumen s.s. Compared with T-2 glass-ceramics, it is natural to conclude that Ta₂O₅ has a significant effect on the crystal size, crystal shape, and crystallinity of the LAS glass-ceramics. Moreover, with increase of Ta₂O₅ addition, the crystallization mechanism changes from surface crystallization to bulk crystallization, and these results confirm the above-mentioned results of crystallization kinetic analysis. As shown in Fig. 8a-c, it is remarkable that the micrographs of the bulk crystallized glass-ceramics are separated by the white spine-like region, resulting in the formation of network morphology in the homogeneous glass-ceramics. These spines are considered as the junction of two regions with different crystal orientation, and these zones, stacked by the totally crystallized



(a) <u>1μm</u> (b) <u>1μm</u> (c) 2μm

Fig. 8 SEM images of etched surfaces of T-4 glass-ceramics heat-treated at different temperatures for 1 h. a 850, b 900, and c 1,000 °C

grains, are less etched than the partially crystalline sphere around with residual glass, and appear slightly brighter in the electron beam. Furthermore, as shown in Fig. 8c, the secondary grain growth with temperature exhibits orientated movement, and the grain morphology appear an ordered arrangement and impingement around the spines.

The microstructure evolutions of T-6 glass-ceramics are similar to that of T-4 samples, and moreover, by comparing the two graphs (Figs. 8a and 9a), the crystallinity of T-6 samples is higher than that of T-4 under the same heating procedure. In addition, the uniformly distributed crystals with 50-70 nm in diameter are shown in Fig. 9a and b, and the grain boundaries appear slightly darker in electron beam owing to the corrosion of the residual glass phase after HFetching. Unfortunately, the tiny crystals of Ta₂O₅ phase, dispersing uniformly throughout a continuous residual glass matrix, are not visually observed in SEM micrographs. In fact, the diffusion of tantalum is expected to be slow in such a viscous glass, and the formation of Ta₂O₅ crystallites is a rate-limiting process of grain growth of β -quartz s.s. As a result, the small size of Ta₂O₅ crystals is naturally accepted. In addition, during the growth of β -quartz s.s, the substitution of Si by Al in the crystalline phase is limited by the supply of Li, and the "glass-like" intergranular regions enriched in aluminum have also been found [28]. Thus, as shown in

Fig. 9 SEM images of etched surfaces of T-6 glass-ceramics heat-treated at different temperatures for 1 h. a 850, b 900, and c 1,000 °C

Fig. 9c, the precipitation of $AITaO_4$ (white crystals) in the aluminum and tantalum-rich residual glasses is reasonable. Meanwhile, the region of residual glass is limited in small scale, which could be interpreted as a mechanism of inhibiting grain growth of $AITaO_4$. However, there are few reports about the secondary grain growth of the LAS glass-ceramics [29]. Therefore, the details of mechanism of the secondary grain growth and the formation of this novel morphology patterns in tantalum-containing glass-ceramics will be studied further.

Conclusions

The glass-ceramics based on the $Li_2O-Al_2O_3$ -SiO₂ system were prepared by using Ta_2O_5 as nucleating agent. The obtained results of crystallization mechanism and microstructure evolution are summarized as follows:

1. Ta₂O₅ has a good efficiency in promoting crystallization of LAS glasses, and gives the sequence of crystallization with increasing temperature as follows: phase separation followed by the formation of precursor nuclei, the crystallization of β -quartz s.s along with additional precipitation of Ta₂O₅ within grain boundary, and eventually the phase transformation from β -quartz s.s to β -spodumene s.s, and the formation of AlTaO₄ crystals.

- 2. With the increasing amount of Ta_2O_5 from 2 to 6 mol%, the crystallization activation energy decreased from 297.73 to 218.66 kJ mol⁻¹, while the crystallization index increased from 1.76 to 3.39, which indicated that the crystallization mechanism changed from surface crystallization to internal crystallization.
- The amount of Ta₂O₅ has a pronounced effect on the microstructure: the cluster of dendritic crystal and lamellar structure obtained in T-2 glass-ceramics indicate a typical two-dimensional crystallization mechanism, whereas fine-grained microstructure obtained for T-4 specimens is due to bulk crystallization mechanism.
- 4. In addition, the effects of Ta_2O_5 on nucleation and crystallization are mainly attributed to the precipitation of crystalline precursor phase (Ta_2O_5), as nuclei of the subsequent crystal growth. The precipitation of tiny Ta_2O_5 crystals is mainly attributed to the concentration of tantalum in residual glass, rejected from the growing β -quartz solid solution.

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